



PATENT
Attorney Docket No. 392531
Express Mail Label No. EL 927874214 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Charles A. Jennings
Serial No. 09/838,993
Filed April 20, 2001
For System and Method for Streaming Media

Examiner Not Yet Assigned
Group Art No. 2152

February 16, 2004

Commissioner For Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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Technology Center 2100

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97(b), or
(Within three months of filing national application, or date of entry of national application, or before mailing date of first office action on the merits, whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either a:
 - ☐ Statement under 37 CFR 1.97(e), or
 - ☐ a \$180 fee under 37 CFR 1.17(p), or
(After the CFR 1.97(b) time period, but before final action or notice of allowance, which ever occurs first)
- ☐ under 37 CFR 1.97(d) together with a:
 - ☐ Statement under 37 CFR 1.97(e)(1) or (2), and
 - ☐ a \$180.00 fee set forth in 37 CFR 1.17(p).
(Filed after final action, a notice of allowance, on or before payment of issue fee)

☒ Applicant(s) submit herewith Form PTO 1449 – Information Disclosure Citation together with copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of the application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

☐ This Application was filed after June 30, 2003. Therefore, Applicant(s) submit(s) herewith Form PTO 1449 – Information Disclosure Citation without copies of U.S. patents or U.S. publications, but includes copies of foreign patents, publications or other information of which Applicant(s) is/are aware, which applicant(s) believe(s) may be material to the examination of the application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

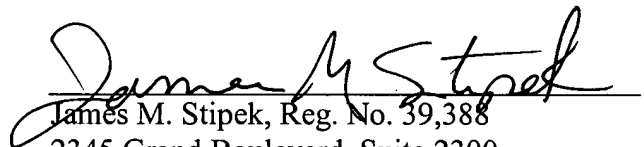
☐ A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449,

as presently understood by the individual(s) designated in 37 CFR 1.56(c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counter part foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith

It is requested that the information disclosed herein be made of record in this application

Respectfully submitted,

LATHROP & GAGE LLC

A handwritten signature in black ink, appearing to read "James M. Stipek", is written over a horizontal line.

James M. Stipek, Reg. No. 39,388
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Kansas City, Missouri 64108
Tel No: 816/460-5848
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Express Mail Label No.: EL927874214US

PTO/SB/21 (08-00)

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Approved for use through 10/31/2002. OMB 0651-0031

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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/838,993	
	Filing Date	April 20, 2001	
	First Named Inventor	Charles A. Jennings	
	Group Art Unit	2152	
	Examiner Name	Not Yet Assigned	
Total Number of Pages in This Submission		Attorney Docket Number	392531

ENCLOSURES (check all that apply)

- ☐ Fee Transmittal Form
- ☐ Fee Attached
- ☐ Amendment / Response
- ☐ After Final
- ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/Incomplete Application
- ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
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- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
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- ☐ Proprietary Information
- ☐ Status Letter

☒ Other Enclosure(s)
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Form PTO 1449
Copies of three (3) cited references
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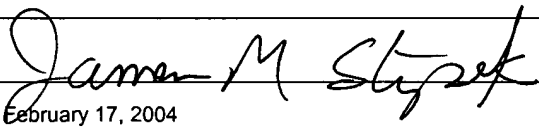
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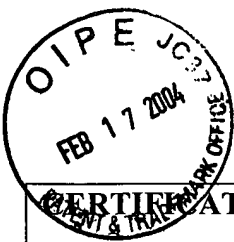
Firm or Individual name	James M. Stipek
Signature	
Date	February 17, 2004

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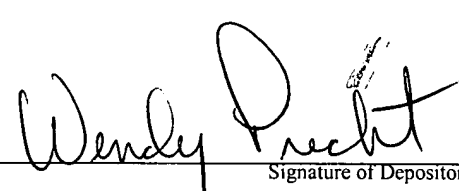
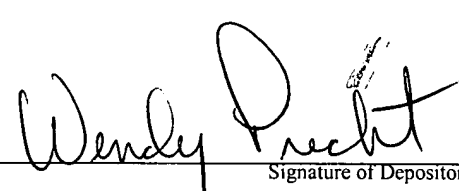
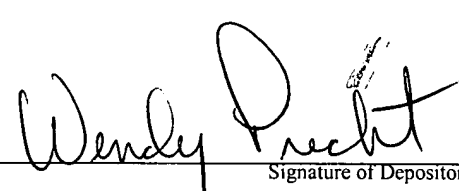
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2-18-04

2152 #6
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CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)			Matter No.						
Applicant(s): Charles A. Jennings			392531						
Serial No.	Filing Date	Examiner	Group Art Unit						
09/838,993	April 20, 2001	Not Yet Assigned	2152						
For: SYSTEM AND METHOD FOR STREAMING MEDIA									
<p>I hereby certify that this: Transmittal Form (1 page); Information Disclosure Statement (2 pages); Form PTO-1449 (2 pages); copies of three (3) cited references; and return post card are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 17th day of February, 2004.</p> <p style="text-align: right;">RECEIVED FEB 23 2004 Technology Center 2100</p> <table border="0" style="width: 100%;"><tr><td style="width: 60%; text-align: center;">Wendy Precht Name of Depositor</td><td style="width: 40%; text-align: center;">RECEIVED FEB 24 2004 TC 2100</td></tr><tr><td style="text-align: center;"> Signature of Depositor</td><td></td></tr><tr><td colspan="2" style="text-align: center;">EL927874214US Express Mail Label No.</td></tr></table>				Wendy Precht Name of Depositor	RECEIVED FEB 24 2004 TC 2100	 Signature of Depositor		EL927874214US Express Mail Label No.	
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Substitute for form 1043A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet	1	of	2
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Complete if Known

<i>Application Number</i>	09/838,993
<i>Filing Date</i>	April 20, 2001
<i>First Named Inventor</i>	Charles A. Jennings
<i>Art Unit</i>	2152
<i>Examiner Name</i>	Not Yet Assigned
<i>Attorney Docket Number</i>	392531

U.S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

[illegible]

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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(Use as many sheets as necessary)

Sheet	2	of	2
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Complete if Known

<i>Application Number</i>	09/838,993
<i>Filing Date</i>	April 20, 2001
<i>First Named Inventor</i>	Charles A. Jennings
<i>Art Unit</i>	2152
<i>Examiner Name</i>	Not Yet Assigned
<i>Attorney Docket Number</i>	392531

NON PATENT LITERATURE DOCUMENTS

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Examiner
Signature

Date
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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